A Refinement Calculus for Requirements Engineering

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13th Int. Conf. on Research Challenges for Information Science
Brussels, May 29, 2019
Abstract

The requirements problem consists of transforming stakeholder requirements - however informal, ambiguous, conflicting, unattainable, imprecise and incomplete – into a consistent, complete and realizable specification through a systematic process. We propose a solution for the problem, consisting of an iterative argument between stakeholders and requirements engineers, where posited requirements are attacked for being ambiguous, incomplete, etc. and refined accordingly. Refinements are carried out by operators that refine (e.g., strengthen, weaken, decompose) existing requirements, to build a refinement graph. The semantics of a solution is provided through the notion of acceptable arguments in Dung’s argumentation theory. This is joint work with Yehia ElRakaiby, Alessio Ferrari and Alex Borgida.
Requirements Engineering (RE)

- Concerned with the elicitation, analysis and refinement of 
  **stakeholder requirements** in order to produce a 
  **specification** for a system-to-be.

- Founded on seminal works by Douglas Ross, Michael 
  Jackson and others in the mid-70s.

- Unique research area within Computer Science because 
  its task is to **define** software engineering problems (in terms 
  of a specification).

- Interesting area, because stakeholder (“early”) 
  requirements are necessarily vague, informal, conflicting, 
  unattainable, and more (... in short, "scruffy"), but they 
  constitute the input to the RE process none-the-less.
The Requirements problem

In its original formulation [Jackson95], a requirements problem consists of finding a specification $S$ for a given set of requirements $R$ and indicative environment properties $E$ such that

$$E, S |- R$$

meaning: “... satisfaction of the requirements can be deduced from satisfaction of the specification, together with the environment properties...” [Jackson95]

Solution through refinement (as in program refinement): Start with requirements and keep refining them to eliminate mention of non-executable elements.
Requirements as goals

Requirements are now goals and (requirements) problem solving amounts to incremental AND/OR goal refinement (Axel van Lamsweerde, c.1993).
Stakeholders as Actors

Models now include stakeholders, represented as actors, who have goals and rely on others for their fulfillment (Eric Yu, c.1993).
Interesting ideas in RE ...

- Requirements derived via refinement from models of the *domain* (Ross, c.1977).
- Stakeholder requirements and *specifications* are different things, though logically related (Jackson&Zave, c.1995).
- Requirements are stakeholder *goals* (vanLamsweerde, c.1993).
- The requirements problem is a *social* problem, calls for social solutions (Yu, c.1993).
- The requirements problem is solved through problem *refinement* (all), and this refinement has many forms: activity decomposition (Ross), abductive inference (Jackson), goal refinement (vanLamsweerde), social delegation (Yu).
- With goal models and refinement, you are not exploring a design, but rather a *design space* (GORE).
Goal models circa 2018

Goals can be mandatory/nice-to-have, can have priorities [Liaskos10], probabilities [Letier04], utilities, ...

- Low cost scheduling
- Collect timetables
  - By person
  - By system
- Find free room
  - OR cp1
  - OR cp2
- Get free room
  - OR
- Choose schedule
  - OR cp3
- Schedule meeting
  - AND
- Good quality schedule
  - >70% participation

Choice points
Domain assumption
Task

Op
Op
Op
What do these models tell us?

They allow us to derive alternative specifications (*solutions*) – each consisting of functions/tasks/actions, quality constraints and assumptions for fulfilling requirements.

These models are founded on two important concepts of Science and Engineering: *refinement* and *operationalization*. 
Refinement

Literally means “the process of removing impurities, defects or unwanted elements”, as in oil or sugar refinery.

Refinement has an illustrious history in Computer Science, specifically in programming methodology (Abrial, Hoare et al)

In GORE, refinement has been used to iteratively reduce a goal $G$ to specification $S$ (collections of tasks, quality constraints) and assumptions such that $A, S |- G$

Goal refinements come in two flavours, AND/alternative:

$G \rightarrow_{\text{AND}} G_1, G_2, \ldots, G_n$ (decomposition)

$G \rightarrow G_1, G \rightarrow G_2, \ldots, G \rightarrow G_m$ (alternation)
Operationalization

In spoken English, operationalization means “to make something operational/working” [spoken]

Operationalizing a goal in terms of a task/action uses this [spoken] sense.

In the Sciences (Natural, Life and Social), operationalization means “defining a concept that is not directly observable through the operations by which we measure it” [sciences] [Bridgeman27].

  e.g., ‘mass’ can be operationalized inertially or gravitationally.

Operationalizing a non-functional requirement in terms of quality constraints/metrics uses that [sciences] sense.

Operationalization marks the boundary between problem and solution space.
But, many things can’t be told in GORE ...

The requirements stakeholders give are often:

- Redundant/not needed so they can be dropped
  “Highly secure system”  $\Rightarrow$  X (forget it, not needed!)

- Unattainable, so they need to be weakened,
  “7/24 availability”  $\Rightarrow$  “office hour availability”

- Conflicting, so they need to be weakened
  “Low cost”  &  “High security”  $\Rightarrow$  “modest cost”  &  “secure from DoS attacks”

- Refinements provided by GORE can’t address problems of unattainability, conflict, ambiguity, incompleteness, etc.

- ... we need a new calculus!
A calculus for RE (CaRE)

- Consists of refinement operators that operate on requirements and derive other requirements.
- Through this calculus we propose to solve the requirements problem incrementally by applying refinement operators until we can derive specifications/solutions from the refinement graph that address all their attacks.
- Incremental refinement is cast in the form of a Hegelian dialectical argument between stakeholders (including requirements engineers) where posited requirements are attacked for being ambiguous/incomplete/conflicting/unattainable/non-atomic/etc., and refinements are proposed that eliminate defects under attack.
Examples

- r1: “System shall schedule meetings upon request”
- r2: “Meeting schedules shall be of good quality”
- Non-atomic(r1) “No single function for r1” (reduce) ➔
  - r3: “Collect timetables”, r4: “Generate a schedule”
- Incomplete(r3) “No privacy requirement” (add) ➔
  - r5: “timetable data shall be confidential”
- Ambiguous(r2) (strengthen) ➔ r6: “≥70% participation rate”
- Rejected(r6)
- Ambiguous(r2) (strengthen) ➔ r7: “≥80% participation rate”
...
Refinement graph

r1 := "System shall schedule meetings upon request"

r2 := "Meeting schedules shall be of good quality"

r3 := "Collect timetables", r4 := "Generate a schedule"

r5 := "timetable data are confidential"

r6 := "≥70% participation"

r7 := "≥80% participation"
Hegelian dialectics

For Hegel, dialectics is a form of argument where a thesis is attacked with an antithesis, leading to a synthesis.

This is a different form of dialectic than the original version presented by Plato (and practiced by Socrates).

For our purposes, a thesis is a requirement (or set thereof), an antithesis is an attack that points to a defect of the requirement(s), and a synthesis is the result of a refinement that refines attacked requirement(s) into new ones that don’t have the defect.

Hegel calls refinement a sublation (from German verb “aufheben”, to sublate) meaning that a refinement at the same time cancels (or negates) and preserves what it refines [SEP16].
Refinement operators

CaRE operators are as follows:

- Strengthen(r): refines r into r' such that r' $\Rightarrow$ r.
- Weaken(r): refines r into r' such that r $\Rightarrow$ r'.
- Reduce(r): refines r into r1, ..., rn such that r1 $\land$ ... $\land$ rn $\Rightarrow$ r
- Add(r): refines r into r' such that requirement r has not been dealt with until r' has.
- Resolve({r1,...,rn}): refines r1,...,rn into r1',...,rm' such that each ri' i=1,...m there is some rj, j=1...n such that rj $\Rightarrow$ ri'.

The semantics of ‘dealt with’ is analogous to that for acceptability in argumentation logic: a requirement is dealt with if all attacks against it have been dealt with.

This means that leaf nodes of an argumentation graph not under attack have been dealt with; moreover, they are atomic, unambiguous, attainable, non-conflicting etc.
Attack types

Attack types are inspired by the IEEE 1998 standard on requirements specifications [IEEE98]:

- Non-atomic(r): there is no function that fulfills r.
- Ambiguous(r): r has multiple interpretations
- Unattainable(r): r can’t be fulfilled.
- Unjustified(r): unclear why is r needed.
- TooStrong/TooWeak(r)
- Rejected(r)
- Conflicting({r1,...rn})
- Incomplete(r)

For each of these attack types, there is at least one operator that can be applied to eliminate the defect pointed out by the attack, with the exception of ‘Rejected’.
Refinement graphs

Refinement graphs are labelled hyper-graphs, with nodes representing requirements, hyper-edges representing refinements each taking one or more inputs and having one or more nodes as outputs. Edge labels represent attack types and operators.

Note that such graphs may have cycles:
Unjustified(r:="Collect timetables") (add) \(\rightarrow\) r':="Schedule mtg"
Non-atomic(r') (reduce) \(\rightarrow\) r, r2:...
The requirements problem, revisited

Given a refinement hyper-graph, the requirements problem can be recast in two ways:

✓ (RP-x) Is there a specification that deals with all root-level requirements?
✓ (RP-all) Find all specifications that deal with all root-level requirements.

For RP-x, we adopt a label-propagation algorithm.
For RP-all there is a combinatorial algorithm, we are looking for better ...
Label propagation on refinement graphs

r1: Non-atomic reduce
r3: Non-atomic add
r4: Non-atomic strengthen

r2: Ambiguous strengthen
r6: X
r7: Strengthen

r7: “Access to timetables is only allowed for the scheduler”
r8: “Timetables are collected by the system”
Solving RP-x

✓ Label leaf-level nodes not under attack $S$ (solved).
✓ When all outgoing nodes of a refinement edge are $S$, this refinement has been dealt with, label the edge $S$.
✓ When for every attack on a node there is at least one refinement edge that is $S$, label the node $S$.

Note: RP-x determines if there is a solution to a RP, i.e., an $S$ labelling of unattacked leaf level nodes that leads to labelling $S$ all root-level nodes.
Solving RP-all (naïve)

Note that specifications must be minimal solutions, otherwise we will always have $O(2^{**n})$ solutions (where $n$ is number of unattacked leaf nodes) for any refinement graph that has a solution.

Naïve algorithm:

- $S :=$ fully labelled refinement graph that has a solution,
- Try all combinations of removing one $S$ leaf label and assign all solutions to $S$.
- Repeat this process until you find solutions that don’t have any smaller solution; each of these constitutes a specification.

Meta-comment: I’m sure we can do better ...
History

[Jureta08] proposed an ontology for requirements that foresaw the need for a non-monotonic meaning for requirements satisfaction, without giving a semantics for it.

We proposed a calculus for RE in the PhD thesis of Feng-Lin Li (University of Trento, 2016) [FengLinLi16]. The calculus was more elaborate in the refinement operators it offered than CaRE. For example, a quality goal could be weakened in a probabilistic, fuzzy or user-oriented sense.

However, that proposal didn’t come with a semantics for stakeholder requirements satisfaction, when in fact these requirements may have been rejected, weakened or supplemented during the search for specifications.
Summary

We have proposed a calculus for RE, that supports the incremental derivation of a specification from stakeholder requirements [El.Rakaiby19]

Our proposal extends GORE techniques by introducing operators that can add new requirements, or weaken and even reject stakeholder requirements.

The meaning of the claim “Specification S deals with requirements R, assuming assumptions A” has been cast in argumentation logic semantics, instead of GORE semantics.
References


References (cont’d)


